

29 August 2013

Paeara Family
paeara@ihug.co.nz

Tēnā koutou

Official Information Act Request

I refer to your email of Friday 26 July 2013, received here Monday 29 July, requesting information under the Official Information Act 1982 (**the Act**):

". . . . all records/details associated with funding provided by OTS to the Ngāti Kahu ki Whangaroa Trust Board (Kahukuraariki Trust Board) from 13 June 2013 up until the present. . . ."

The identified in **Appendix 1** are released to you under the Act in response to your request. Please note that certain documents have parts withheld (withheld material locations shown by highlighting):

- a. where they are not relevant to the request;
- b. under section 9(2)(a) of the Official Information Act 1982 to protect the privacy of natural persons; and
- c. under section 9(2)(g)(i) of the Official Information Act 1982 to enable the free and frank expression of opinions.

Under section 28(3) of the Act you may, if you wish, contact the Ombudsman seeking an investigation and review of this decision.

If you have any concerns regarding your request please contact Guy James (Senior Analyst, Policy/Negotiations) on (04) 494 9725, guy.james@justice.govt.nz.

Nāku noa, nā



Kevin Kelly
Deputy Secretary Treaty and Director

Appendix 1: table of documents for release

#	Document	Date	Comment
1	NKKW request for claimant funding	31 May 2013	Parts withheld under s9(2)(a) to protect the privacy of natural persons
2	Email exchange between NKKW and OTS	4-6 June 2013	Parts withheld under s9(2)(a) to protect the privacy of natural persons Part withheld as out of scope Attachments to email of 4 June 2013 can no longer be accessed
3	Claimant funding memorandum	10 June 2013	Parts withheld under s9(2)(a) to protect the privacy of a natural person Parts withheld under s9(2)(g)(i) to maintain effective conduct of public affairs through free and frank expression of opinions Parts withheld as out of scope
4	General ledger accounts payable form for payment to NKKW	13-14 June 2013	Parts withheld under s9(2)(a) to protect the privacy of natural persons
5	Email NKKW to OTS	18 July 2013	Parts of header withheld under s9(2)(a) to protect the privacy of natural persons Text and one attachment withheld as out of scope One attachment partly within scope – see item 6
6	Excel workbook Claimant Funds Accountability #2013.xls	Received at OTS 18 July 2013	Worksheets in scope disclosed (entries for June & July 2013) Parts withheld under s9(2)(a) to protect the privacy of natural persons Remaining worksheets withheld as out of scope



Ngatikahu ki Whangaroa

Trust Board

31 May 2013

David Manuel
515 Maitai bay road
RD3 Kaitaia
021 2609689

Withheld under section
9(2)(a) of the Official
Information Act 1982.

Email: [REDACTED]
Attention: [REDACTED]

Secretary for Justice
Negotiation and Settlement
Office of Treaty Settlements

Tena Kourua,

This is a request of funding from the Ngatikahu Ki Whangaroa Claimant Funding:

- 1. Legislation and Governance \$ 20,000.00
- 2. Consultation \$ 30,000.00
- Total requested \$ 50,000.00**

3 MONTHS COSTINGS 01 June - 30 August 2013

GOVERNANCE	
• Trustees travel (3x hui)	= \$12,000.00
• Marae koha & kai	= \$1,350.00
• PSGE Travel Attendance hui	= \$1,000.00
• Staff / office	= \$18,100.00
Total	\$32,450.00
PSGE CONTRACTORS	
• [REDACTED] Fees/Attendance	
• [REDACTED] Fees/Attendance	
Total	\$5,000.00
PSGE ROADSHOW	
Travel x6 people	= \$19,000.00
Venues x8 @ \$500.00	= \$ 4,000.00
Catering 80 @ \$10.00	= \$ 6,400.00
Booklets 2,500 @ \$10.00	= \$25,000.00
Voting papers 2,500 @ 30 cent	= \$ 750.00
Total	\$55,150.00

Withheld under section
9(2)(a) of the Official
Information Act 1982.

Projected Milestones Achieved

- 1. New Office fully functional/Website Facebook page/ Registrations
- 2. Hui with occupier of farm.
- 3. 70% of consultation Road show completed

If you have any queries please do not hesitate to contact me on email: d.manuel29@yahoo.com or 021 2609689.

Na David Manuel
Te Heamana O Ngatikahu ki Whangaroa Trust Board.



Senior Analyst I Office of Treaty Settlements
 DDI: +64 494 9744 I Ext: 50744
www.ots.govt.nz

Te Tari Whakatau Take e pa ana ki te Tiriti o Waitangi

Withheld under section 9(2)(a) of the Official Information Act 1982.

>>> david manuel <d.manuel29@yahoo.com> 5/06/2013 11:57 p.m. >>>
 Kia ora

As requested, I have modified and updated the attached "Request Claimant Funds" and "Claimant Funds Accountability 2012-2013"

Withheld under section 9(2)(a) of the Official Information Act 1982.

Naku noa

David Manuel (Te Heamana o Ngatikahu ki Whangaroa)

--- On Tue, 4/6/13,

wrote:

From:

Subject: Accounting for NKKW expenditure since 9 May 2013

To: "Dave Manuel" <d.manuel29@yahoo.com>

Cc:

Received: Tuesday, 4 June, 2013, 4:13 AM

Withheld under section 9(2)(a) of the Official Information Act 1982.

Withheld under section 9(2)(a) of the Official Information Act 1982.

Kia ora David

As discussed I am working on your funding request.

You sent a spreadsheet (attached) that details expenditure between 1 October 2012 and 9 May 2013. The total claimant funding spent over that period is \$43,306. I understand you have since spent the remainder of the \$50K tranche on preparing for the PSGE roadshow and related work. As you know we need to account for the entire previous \$50K funding tranche before approving the next \$50K tranche. Would it be possible to update the spreadsheet with detail on the additional spend as soon as you can?

You also sent in a summary of activities proposed over June to August 2013 (attached). The total cost for this work (as it appears in the table) is approx \$92K. After our phone call today can you just confirm that the \$50K currently being requested is to partially complete that work over June - August 2013, and NKKW will seek a further tranche to complete the remaining work (following review by OTS)?

Thanks David, look forward to your response as soon as you are able

Ngā mihi

Withheld under section 9(2)(a) of the Official Information Act 1982.



Senior Analyst I Office of Treaty Settlements
 DDI: +64 494 9744 I Ext: 50744
www.ots.govt.nz

Te Tari Whakatau Take e pa ana ki te Tiriti o Waitangi

Withheld under section 9(2)(a) of the Official Information Act 1982.

From: david manuel [mailto:d.manuel29@yahoo.com]
Sent: 6 06 2013 17 47 04
To: [REDACTED]
Subject: Re: Accounting for NKKW expenditure since 9 May 2013

Withheld under section
9(2)(a) of the Official
Information Act 1982.

Not relevant
to request

--- On Wed, 5/6/13, [REDACTED]

-wrote: Withheld under section
9(2)(a) of the Official
Information Act 1982.

From: [REDACTED]
Subject: Re: Accounting for NKKW expenditure since 9 May 2013
To: "david manuel" <d.manuel29@yahoo.com>
Received: Wednesday, 5 June, 2013, 8:33 PM

Kia ora Dave.

Thanks for the information and I will get the funding request into the process now and seek as quick a response as possible (I started that internal process earlier week so it this info is essential and timely - thank you).

Just a general question to fill out our understanding - at the end of the road show process in approx August, will you have

- informed members about proposed next steps in the process (that includes setting up the PSGE),
- received endorsement from members for NKKW to progress those plans, and
- received endorsement from members for the existing AiP?

This info will help me explain to others here if I am asked

Cheers Dave - nga mihi
[REDACTED]

Withheld under section
9(2)(a) of the Official
Information Act 1982.

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Confidentiality notice: This email may contain information that is confidential or legally privileged.

If you have received it by mistake, please:

(1) reply promptly to that effect, and remove this email and the reply from your system;

(2) do not act on this email in any other way.

Thank you.
=====

=====
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(1) reply promptly to that effect, and remove this email and the reply from your system;

(2) do not act on this email in any other way.

Thank you.
=====

RELEASED UNDER THE
OFFICIAL INFORMATION ACT

Claimant funding memorandum

To Kevin Kelly, Deputy Secretary Treaty and Director

File no. CLA-N1L6-NF-01-03-00001

From Rachel Hargreaves, Acting Manager

cc. Marian Smith, Acting Deputy Director

Date 10 June 2013

Subject Funding for Ngātīkahu ki Whangaroa

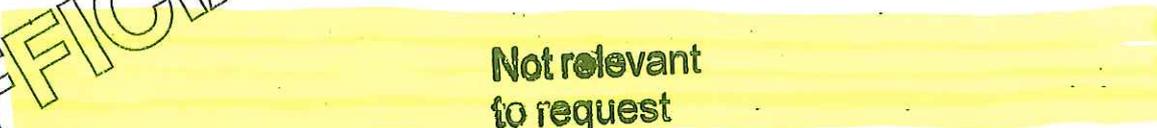
Purpose

1. This memorandum seeks your approval to release \$50,000 of claimant funding to Ngātīkahu ki Whangaroa (NKKW) from their ratification claimant funding allocation. The proposed release would allow NKKW to progress their ratification milestone and establish a post settlement governance entity (PSGE).

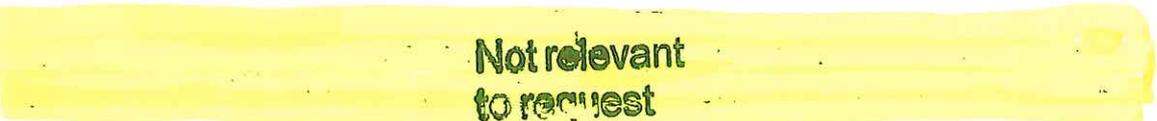
2.  **Not relevant to request**

Background

3. In June 2009 the Minister for Treaty of Waitangi Negotiations and the Minister of Finance agreed that NKKW receive an allocation of \$1,009,000 of claimant funding as a contribution to expenses incurred in negotiating their deed of mandate, terms of negotiation, agreement in principle, deed of settlement, ratification, and legislation and governance work streams.

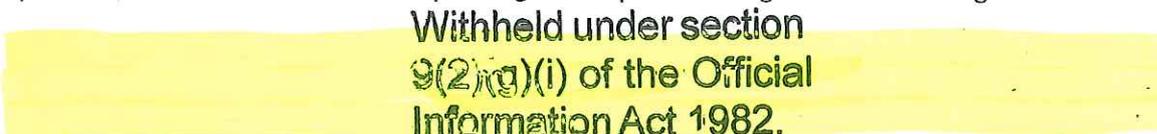
4.  **Not relevant to request**

5. NKKW have received and spent \$553,000 of their claimant funding to date and have \$456,000 remaining. See the 'Remaining claimant funding' table for more detail.

6.  **Not relevant to request**

Claimant funding request

7. NKKW has requested \$50,000 of claimant funding to progress their ratification milestone and establish a PSGE (see Appendix 1). The projected total cost is \$92,450. NKKW will complete tasks up to \$50,000 then account for the spending and request funding for the remaining tasks and costs.

8.  **Withheld under section 9(2)(g)(i) of the Official Information Act 1982.**

**Withheld under section
9(2)(g)(i) of the Official
Information Act 1982.**

9. Officials have reviewed the information provided by NKKW in Appendix 2 accounting for their most recent funding tranche in September 2012. Officials are satisfied that between 1 October 2012 and 4 June 2013 NKKW incurred \$51,602.19 of legitimate negotiation costs against the most recent release of \$50,000.

10. **Withheld under section
9(2)(a) of the Official
Information Act 1982.**

11. **Not relevant
to request**

12. The proposed funding release is to allow NKKW to achieve the following:

- i. Carry out a consultation 'road show' with NKKW members to actively re-establish support for NKKW, for the settlement process and for the signed AiP;
- ii. NKKW actively engage with the occupiers of Stony Creek and focus on discussing options for post settlement goals and managing redress;
- iii. Promoting the early establishment of a ratified PSGE as a means to motivate member participation and establish quantifiable support for NKKW's work.

13. **Not relevant
to request**

Remaining claimant funding

14. If you approve this \$50,000 claimant funding request, \$406,000 will remain available to NKKW for completing the PSGE, deed of settlement and ratification milestones.

15. On 17 April 2013 the Claimant Funding Oversight Committee (CFOG) considered a request from NKKW for claimant funding to re-start work on their settlement. NKKW submitted a strategy and budget for this work.

16. CFOG recommended using \$50,000 from the remaining \$114,000 ratification milestone to fund NKKW's PSGE work as far as practicable, and assess progress.

17. **Withheld under section
9(2)(g)(i) of the Official
Information Act 1982.**

18. CFOG also noted the following:

i.

[Redacted]

Not relevant to request

ii.

[Redacted]

19. A deposit slip for the Ngātikahu ki Whangaroa Trust is attached.

Table: Ngātikahu ki Whangaroa group claimant funding

Milestones for claimant funding	Funding allocation at June 2009 (\$)	Prior releases against this allocation (\$) (excluding C)	Most recent release: Sept 2012 (\$)	Proposed release June 2013 (\$)	Balance remaining after proposed release (\$)
	A	B	C	D	E=A-(B+C+D)
Recognised deed of mandate	\$52,000	\$52,000			
Terms of negotiation	\$16,000	\$16,000			
Formal negotiations to agreement in principle	\$215,000	\$215,000			
Formal negotiations to deed of settlement	\$498,000	\$170,000			\$328,000
Ratification	\$144,000		\$30,000	\$50,000	\$64,000
Legislation and governance	\$84,000	\$50,000	\$20,000		\$14,000
Total	\$1,009,000	\$503,000	\$50,000	\$50,000	\$406,000

Recommendations

20. It is recommended that you:
- 20.1. **Withheld under section 9(2)(g)(i) of the Official Information Act 1982.**
- 20.2. **Not relevant to request**
- 20.3. **approve** the release of \$50,000 of claimant funding to Ngātikahu ki Whangaroa from their ratification claimant funding milestone; and **Yes / No**
- 20.4. **note** that if you approve this recommendation, Ngātikahu ki Whangaroa will have \$64,000 from their Ratification claimant funding milestone unspent and \$406,000 across all claimant funding milestones unspent.

Rachel Hargreaves
Acting Manager Special Projects

Recipient/s to complete

ENDORSED / NOT ENDORSED
<p>Marian Smith Acting Deputy Director</p> <p>Date: / / 2013</p>

NOTED / APPROVED / NOT APPROVED
<p>Kevin Kelly Deputy Secretary Treaty and Director</p> <p>Date: / / 2013</p>

111357



GENERAL LEDGER ACCOUNTS PAYABLE FORM

Ledger C

Ministry M
Crown C
Sales Analysis SA

GL

Responsibility Centre	Natural Account	Analysis Code	Output Activity	Amount \$	Description
1 7.0.2.1.0	8.5.2.0	5.1.1.6.0	9.1.1.4.0	50,000 -	NK 2nd ratification
2					
3					
4					
5					
6					



GST \$
TOTAL \$ 50,000

Comments:

Prepared / Checked by:

Name: _____

Authorised by:

Name: _____

Withheld under section 9(2)(a) of the Official Information Act 1982.

Signature: _____

Withheld under section 9(2)(a) of the Official Information Act 1982.

Signature: _____

In signing this form you are certifying that the information it contains is true and correct.

To J-TIF26 - General

To Finance
13/6/13
1.30 pm

Sen Withheld under section 9(2)(a) of the Official Information Act 1982.

14/6/13

From: dmanuel.ngatikahukiwhangaroa@vodafone.co.nz

Sent: 18 07 2013 14 22 07

To: [redacted]
Hickey <maureen.hickey@justice.govt.nz>

Subject: CLAIMANT FUNDING

Withheld under section

9(2)(a) of the Official Information Act 1982. Maureen

Information Act 1982.

Withheld under section
9(2)(a) of the Official
Information Act 1982.

Tena koe

I have attached
months.

**Not relevant
to request**

projected costs for the next 2

We have encountered unforeseen extra costs from office stationary and equipment, setting up Xero with our accountant, Out-of-pocket expenses and a meeting planned with the minister next week Tuesday.

I look forward to hearing from you again. My contact is 0212609689

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OFFICIAL INFORMATION ACT

Claimant funding payment schedule

Iwi/claimant group: **NGATI KAHU KI WHANGA ROA TRUST BOARD**
 negotiation expenses for the period (month and year) from **01.10.2012** to: **5-Jun-13**

subtotal -\$ 2.98

Withheld under section 9(2)(a) of the Official Information Act 1982.

Invoice/Expense Date	Invoice number/reference	Supplier	OTS cost category	GST incl (y/n)	Amount \$	Balance	Date paid
5/06/2013	110069	STAFF ASSISTED TAX PRINTOUT	FEE	n	1.00	3.98	5/06/2013
17/06/2013	MOJ	OTS	DC	n	50,000.00	49,996.02	17/06/2013
18/05/2013	2537	D Manuel Trustees (Treaty Claim) Hui	MAN	n	69.30	49,926.72	18/06/2013
18/05/2013	2540	PSAG	Chq	y	1,450.59	48,476.13	18/06/2013
18/06/2013	2551	3wks wages, ex travel	Chq	n	3,438.00	45,038.13	18/06/2013
18/06/2013	2557	Wages	Chq	n	503.65	44,534.48	18/06/2013
18/05/2013	2542	M.Teirney IT Website	MAN	y	1,150.00	43,384.48	19/06/2013
18/06/2013	2552	Contact Energy Monthly power account	CHQ	y	2,000.00	41,384.48	19/06/2013
18/06/2013	2553	Vodafone Business calls/Internet	CHQ	y	2,000.00	39,384.48	19/06/2013
18/06/2013	2554	Warehouse Stationery	CHQ	y	114.60	39,269.88	19/06/2013
19/06/2013	NKKWTBC	BANK STREET PROPERTY	AP	y	766.67	38,503.21	19/06/2013
18/06/2013	2533	J Day Trustees (Treaty Claim) Hui	MAN	n	523.07	37,980.14	20/06/2013
18/06/2013	2555	Petty Cash Office	MAN	n	100.00	37,880.14	21/06/2013
22/06/2013	2560	D Manuel Trustees (Treaty Claim) Hui	MAN	n	69.30	37,810.84	24/06/2013
22/06/2013	2561	R Manuera Trustees (Treaty Claim) Hui	MAN	n	69.30	37,741.54	24/06/2013
25/06/2013	2564	Vodafone Business calls/Internet	CHQ	n	235.92	37,505.62	25/06/2013
26/06/2013	2562	Wages	Chq	n	503.65	37,001.97	27/06/2013
27/06/2013	2565	Vodafone Office	CHQ	n	133.95	36,868.02	27/06/2013
22/06/2013	2558	A Roberts Trustees (Treaty Claim) Hui	MAN	n	523.07	36,344.95	28/06/2013
26/06/2013	2563	MONTHLY BANK FEE	FEE	n	503.65	35,841.30	28/06/2013
28/06/2013					19.60	35,821.70	28/06/2013
			Expenses		14,175.32		
			Income		50,000.00		
			income - expenses		35,824.68		
			Balance				

Withheld under section 9(2)(a) of the Official Information Act 1982.

WHTax- PAYE- PAYE
 Wages inv: 1852013
 Withheld under section 9(2)(a) of the Official Information Act 1982.

Total PAYE \$ 1,262.94

Claimant funding payment schedule

lwi/claimant group: NGATI KAHU KI WHANGAROA TRUST BOARD
 negotiation expenses for the period (month and year) from: 01.10.2012

to: 9-May-13

subtotal \$ 35,821.70

Invoice/Expense Date	Invoice number/Supplier	OTIS cost category	GST incl (y/n)	Amount \$	Balance	Date paid
22/06/2013	2559 D Henare	hui costs-venue/koha	n	101.64	\$ 35,720.06	1/07/2013
1/07/2013	Trustees Hui Internet Ban	admin/office expenses	y	10.00	\$ 35,710.06	1/07/2013
3/07/2013	VODAFONE FXD	admin/office expenses	y	166.03	\$ 35,544.03	3/07/2013
3/07/2013	VODAFONE FXD	travel/accommodation	n	240.70	\$ 35,303.33	3/07/2013
3/07/2013	David Manuel	financial advice	y	277.20	\$ 35,026.13	3/07/2013
3/07/2013	Whitelaw Webber Ltd	financial advice	y	381.92	\$ 34,644.21	3/07/2013
3/07/2013	Whitelaw Webber Ltd	admin/office expenses	y	886.72	\$ 33,757.49	3/07/2013
3/07/2013	STAFF x2	legal advice	y	971.30	\$ 32,786.19	3/07/2013
3/07/2013	Jackson Russell	admin/office expenses	n	2,269.87	\$ 30,516.32	3/07/2013
5/07/2013		internal comms/consultation	n	50.00	\$ 30,466.32	5/07/2013
5/07/2013		internal comms/consultation	y	357.50	\$ 30,108.82	5/07/2013
5/07/2013		internal comms/consultation	y	1,246.78	\$ 28,862.04	5/07/2013
5/07/2013		internal comms/consultation	y	2,888.20	\$ 25,973.84	5/07/2013
18/05/2013	2531 M Poto	travel/accommodation	n	523.07	\$ 25,450.77	5/07/2013
6/07/2013	2566 Chairman	hui costs-venue/koha	n	120.00	\$ 25,330.77	5/07/2013
6/07/2013	2575 S Manuel	hui costs-venue/koha	n	650.00	\$ 24,680.77	8/07/2013
6/07/2013	2571 R Manuera	travel/accommodation	n	84.00	\$ 24,596.77	8/07/2013
6/07/2013	2573 D Manuel	travel/accommodation	n	84.00	\$ 24,512.77	8/07/2013
6/07/2013	2567 Thelma Day	travel/accommodation	n	336.00	\$ 24,176.77	8/07/2013
6/07/2013	2574 A Tua	travel/accommodation	n	72.00	\$ 24,104.77	8/07/2013
6/07/2013	2569 Waitaruke	hui costs-venue/koha	n	300.00	\$ 23,804.77	9/07/2013
10/07/2013		internal comms/consultation	y	325.00	\$ 23,479.77	10/07/2013
10/07/2013		travel/accommodation	n	541.00	\$ 22,938.77	10/07/2013
10/07/2013	M Terewi	travel/accommodation	n	20.00	\$ 22,918.77	10/07/2013
10/07/2013	IRD - PAYE	admin/office expenses	y	1,262.91	\$ 21,655.86	10/07/2013
10/07/2013	Top Print	admin/office expenses	y	506.00	\$ 21,149.86	10/07/2013
10/07/2013	STAFF x2	admin/office expenses	y	971.30	\$ 20,178.56	10/07/2013
10/07/2013	-WHTax	admin/office expenses	y	289.05	\$ 19,889.51	10/07/2013
10/07/2013	2576 Office	admin/office expenses	n	30.00	\$ 19,859.51	10/07/2013
6/07/2013	2572 P Pangari	travel/accommodation	n	144.00	\$ 19,715.51	11/07/2013
6/07/2013	2568 D Henare	travel/accommodation	n	240.00	\$ 19,475.51	12/07/2013
6/07/2013	2570 M Terewi	travel/accommodation	n	72.00	\$ 19,403.51	16/07/2013
17/07/2013	STAFF x2	admin/office expenses	y	971.30	\$ 18,432.21	17/07/2013
17/07/2013	Internet connection	admin/office expenses	y	51.05	\$ 18,381.16	17/07/2013
17/07/2013	D Manuel	admin/office expenses	n	207.90	\$ 18,173.26	17/07/2013
17/07/2013	Warehouse Stationery	admin/office expenses	y	3,194.91	\$ 14,978.35	17/07/2013
17/07/2013	5 BANK STREET	admin/office expenses	y	766.67	\$ 14,211.68	17/07/2013
22/07/2013	Northern Age	2x Advertisements	DC	262.54	\$ 13,949.14	
	Top Print	Booklets x 400	DC	1,048.80	\$ 12,900.34	
	Trustees Travel	OTS Hui - Trustees x9 (Pita, Manaaki, Anne, Thelma, Dave, Annwyn, Suey, David, Rawiri)	DC	1,686.60	\$ 11,213.74	
	Catering	OTS Hui - Catering	DC	300.00	\$ 10,913.74	
	Loss of Work	OTS Hui - Trustees x12 (Pita, Manaaki, Anne, Thelma, John, Suey, Wake, Dave, Rawiri, David, Annwyn, Ian)	DC	1,800.00	\$ 9,113.74	
	STAFF x2	Wages x 3wks	DC	3,600.00	\$ 5,513.74	
	1x ROAD SHOW	Whangarua Marae	DC	3,587.00	\$ 1,926.74	
27/07/2013	5 BANK STREET	Office Lease	DC	6,133.36	\$ - 4,213.62	

Closing balance as at 16/7/2013
 Unpresented Cheques

Withheld under section 9(2)(a) of the Official Information Act 1982.

